

# **Booth Ferris Foundation**

## **INFORMATION ABOUT THE FOUNDATION**

The Booth Ferris Foundation was established in 1957 under the wills of Willis H. Booth and his wife, Chancie Ferris Booth. Since that time, approximately \$258 million has been contributed from the Foundation to worthy organizations for a variety of charitable purposes. Grants are made to charitable organizations that are exempt from federal taxes under Section 501 (c)(3) of the Internal Revenue Code and that are not classified as private foundations.

As of December 31, 2007, the market value of the assets of the Foundation was \$245,542,291. A total of \$11,332,470 was distributed in 2007 for 123 grants and memberships.

Proposals are reviewed periodically during the year. We will make every effort to schedule meetings or site visits with applicants whose proposals are pending on forthcoming dockets. Organizations whose proposals are not successful will be notified as soon as possible. Those organizations are asked to wait for at least one year before applying again. If a grant is awarded, we ask that the organization wait a minimum of three years before re-applying.

The Foundation's areas of focus are arts and culture, civic affairs and education. Arts and culture includes arts education, performing arts and visual arts organizations in New York City. Civic affairs is broadly defined to include community development, human services, legal services, management assistance and parks in New York City. In the field of education, the Foundation provides support to small liberal arts colleges nationally as well as K-12 public education initiatives in New York City. Grants in other areas are made occasionally, most often in the fields in which the Booth family had an interest. The Foundation primarily funds capital and capacity building projects. Grants typically range from \$50,000 to \$200,000.

Grants are not made to organizations whose primary work is conducted outside of the United States, and grants are not made to individuals, to federated campaigns or to work with specific diseases or disabilities. Proposals from educational institutions for scholarships and fellowships and for unrestricted endowment are discouraged, as are proposals for individual research efforts at such institutions. Proposals from social services and cultural institutions from outside the metropolitan New York area will not be considered.

## **CONTACTS AND DEADLINES**

The contact person and proposal deadline varies based on the program area.

- **Arts and culture/higher education:**  
Contact: Edward L. Jones, Vice President  
Deadlines: February 1, May 1, August 1 and October 1  
(or the nearest business day to that deadline if the date falls on a weekend)
- **Civic affairs:**  
Contacts: Natalie Silver, Vice President, or Connie Giampapa, Associate  
Deadline: February 1  
(or the nearest business day to that deadline if the date falls on a weekend)
- **K-12 education:**  
Contact: Erin K. Hogan, Vice President, or Sara J. Rosen, Associate  
Deadlines: Proposals are reviewed on an ongoing basis

## **PROPOSAL CHECKLIST**

Please include the following items in your organization's request:

1. **Proposal** which includes:
  - overview of the organization (i.e., primary goals, needs or problems being addressed, population served)
  - description of the project for which you are seeking support
    - o Statement of purpose and the needs being addressed by the project
    - o Population served and how they will benefit from the project
    - o Project timeline/anticipated project duration
  - brief biography of the executive director and key project staff
2. **Project budget**
  - if it is a two-year request, please include a two-year projected budget
  - include a list of foundation, corporate and other support applied to the budget
3. Current fiscal year **organizational budget**
  - also include a projected budget for upcoming year (if available)
  - indicate if the organization has an **endowment**
    - o quote the most recent value of the corpus
    - o indicate if the funds are permanently restricted
4. **List of foundation and corporate supporters**
  - include a list of funders for the current fiscal year
  - also provide a list from the most recently completed fiscal year
  - include foundation and corporation names and amounts
5. **Board of directors list with affiliations**
6. **Annual report** (most recent, if available)
7. **Audited Financial Statements** (final or draft copy from the most recent fiscal year)
8. **Form 990** (most recent)
9. **IRS 501(c)(3) determination letter**

Booth Ferris Foundation  
JPMorgan Private Bank  
NY1-N040  
345 Park Avenue, 4th Floor  
New York, NY 10154  
(212) 464-2487

# Booth Ferris Annual Report 2007

Statement of Grants December 31, 2007	Balance 12/31/06	Approvals 2007	Payments 2007	Balance 2007 or Later
<b><u>Arts and Culture</u></b>				
<b>Aaron Davis Hall</b> New York, NY for institutional stabilization	\$0	\$250,000	\$125,000	\$125,000
<b>Alvin Ailey American Dance Theater</b> New York, NY for 50th Anniversary activities	\$0	\$250,000	\$125,000	\$125,000
<b>Apollo Theater Foundation</b> New York, NY for institutional planning and program development	\$0	\$150,000	\$150,000	\$0
<b>Bedford Stuyvesant Restoration Corporation</b> Brooklyn, NY for implementation of the Center for Arts and Culture strategic plan	\$0	\$100,000	\$50,000	\$50,000
<b>Bronx Museum of the Arts</b> Bronx, NY for planning and development initiatives	\$0	\$200,000	\$100,000	\$100,000
<b>Brooklyn Academy of Music</b> Brooklyn, NY for growth initiatives	\$0	\$250,000	\$125,000	\$125,000
<b>Brooklyn Children's Museum</b> Brooklyn, NY for the Accessibility and Safety Improvement Project	\$0	\$200,000	\$200,000	\$0
<b>The Brooklyn Historical Society</b> Brooklyn, NY for development and implementation of a strategic and business plan	\$0	\$200,000	\$100,000	\$100,000
<b>The Center for Arts Education</b> New York, NY for public engagement initiatives	\$0	\$150,000	\$75,000	\$75,000
<b>The Chamber Music Society of Lincoln Center</b> New York, NY to sustain institutional operations in temporary space	\$0	\$150,000	\$75,000	\$75,000
<b>The Children's Art Carnival</b> New York, NY for staffing and equipment upgrades	\$0	\$150,000	\$75,000	\$75,000
<b>Children's Museum of Manhattan</b> New York, NY for expansion of the Bronx Arts initiative	\$0	\$150,000	\$150,000	\$0
<b>Community Works</b> New York, NY for design and furnishing of a new facility	\$0	\$250,000	\$150,000	\$100,000

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<b>Creative Capital Foundation</b> New York, NY for the individual donor initiative	\$0	\$150,000	\$150,000	\$0
<b>Creative Time</b> New York, NY for renovations of a new facility	\$0	\$150,000	\$75,000	\$75,000
<b>Cunningham Dance Foundation</b> New York, NY for new webcast programming	\$0	\$150,000	\$75,000	\$75,000
<b>Dance Theatre of Harlem</b> New York, NY for a marketing and communications initiative	\$0	\$125,000	\$75,000	\$50,000
<b>Dieu Donn� Papermill</b> New York, NY for a new facility	\$150,000	\$0	\$150,000	\$0
<b>Dixon Place</b> New York, NY for the construction of a new theater	\$0	\$150,000	\$150,000	\$0
<b>El Museo del Barrio</b> New York, NY for a new marketing strategy	\$0	\$150,000	\$150,000	\$0
<b>Heart of Brooklyn</b> Brooklyn, NY for strategic planning	\$0	\$150,000	\$75,000	\$75,000
<b>HERE Art Center</b> New York, NY for a capital improvements project	\$0	\$150,000	\$75,000	\$75,000
<b>Irondale Productions</b> Brooklyn, NY for a new facility	\$200,000	\$0	\$0	\$200,000
<b>Japan Society</b> New York, NY for renovations	\$0	\$200,000	\$100,000	\$100,000
<b>Elaine Kaufman Cultural Center</b> New York, NY for renovations	\$200,000	\$0	\$200,000	\$0
<b>Meet the Composer</b> New York, NY for a technology systems upgrade	\$0	\$150,000	\$150,000	\$0

<b>Statement of Grants December 31, 2007</b>	<b>Balance 12/31/06</b>	<b>Approvals 2007</b>	<b>Payments 2007</b>	<b>Balance 2007 or Later</b>
<b>Mind-Builders Creative Arts Center</b> Bronx, NY to strengthen fundraising capabilities	\$0	\$150,000	\$75,000	\$75,000
<b>The Moving Image</b> New York, NY for renovations	\$0	\$200,000	\$0	\$200,000
<b>The New 42nd Street</b> New York, NY for the Tessitura project	\$0	\$200,000	\$100,000	\$100,000
<b>The New School</b> New York, NY for Mannes College of Music's Techniques of Music program	\$0	\$100,000	\$100,000	\$0
<b>New York Philharmonic</b> New York, NY for the technology initiative	\$0	\$250,000	\$125,000	\$125,000
<b>New York Shakespeare Festival</b> New York, NY for the Public LAB program	\$0	\$200,000	\$200,000	\$0
<b>New York Youth Symphony</b> New York, NY for the capital campaign and related staff additions	\$0	\$150,000	\$75,000	\$75,000
<b>Pan Asian Repertory Theatre</b> New York, NY for the 30th anniversary capacity building initiative	\$0	\$150,000	\$150,000	\$0
<b>Performance Space 122</b> New York, NY for a newly structured membership program and marketing strategies	\$0	\$150,000	\$75,000	\$75,000
<b>St. Lukes Chamber Ensemble</b> New York, NY for the artistic initiative	\$0	\$150,000	\$150,000	\$0
<b>Teachers &amp; Writers Collaborative</b> New York, NY for office and equipment upgrades	\$0	\$150,000	\$150,000	\$0
<b>Young Audiences New York</b> New York, NY for organizational assessment and strategic planning	\$0	\$150,000	\$75,000	\$75,000

<b>Total Arts and Culture</b>	<b>\$550,000</b>	<b>\$5,975,000</b>	<b>\$4,200,000</b>	<b>\$2,325,000</b>
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### **Civic Affairs**

<b>Asian American Legal Defense and Education Fund</b> New York, NY to strengthen fundraising capacity	\$0	\$100,000	\$50,000	\$50,000
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<b>The BELL Foundation</b>	\$0	\$75,000	\$75,000	\$0
Bronx, NY for technology upgrades				
<b>BoardAssist</b>	\$40,000	\$0	\$40,000	\$0
New York, NY for expansion of board matching services				
<b>Boys &amp; Girls Harbor</b>	\$70,000	\$0	\$70,000	\$0
New York, NY for expansion of a day care facility				
<b>Bronx River Alliance</b>	\$50,000	\$0	\$50,000	\$0
Bronx, NY to strengthen fundraising capacity and operating procedures				
<b>Brooklyn Community Housing and Services</b>	\$75,000	\$0	\$75,000	\$0
Brooklyn, NY to hire a director of development				
<b>Center for Alternative Sentencing and Employment Services</b>	\$0	\$200,000	\$100,000	\$100,000
New York, NY to develop and implement a client tracking database				
<b>The Center for Family Representation</b>	\$0	\$150,000	\$75,000	\$75,000
New York, NY to fund a development associate and to strengthen fiscal operations				
<b>The Children's Village</b>	\$0	\$100,000	\$0	\$100,000
Dobbs Ferry, NY for renovation and expansion of the Hayden Recreation Center				
<b>City Bar Justice Center</b>	\$0	\$100,000	\$100,000	\$0
New York, NY for a communications director				
<b>City Futures</b>	\$66,500	\$0	\$66,500	\$0
New York, NY to strengthen development capacity				
<b>Common Ground Community H.D.F.C.</b>	\$0	\$125,000	\$125,000	\$0
New York, NY for architectural fees associated with the green design campaign				
<b>Community Access</b>	\$0	\$75,000	\$75,000	\$0
New York, NY to launch an individual donor campaign				
<b>The City University School of Law at Queens College Foundation</b>	\$75,000	\$0	\$75,000	\$0
Flushing, NY to hire an associate director for the Community Legal Resource Network				
<b>Community Resource Exchange</b>	\$150,000	\$0	\$150,000	\$0
New York, NY for the Community and Sectors Initiative				

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<b>Community Voices Heard</b>	\$75,000	\$0	\$75,000	\$0
New York, NY to enhance fundraising capacity				
<b>Encore Community Services</b>	\$0	\$75,000	\$75,000	\$0
New York, NY for the Encore West residence				
<b>Families United for Racial and Economic Equality</b>	\$0	\$100,000	\$100,000	\$0
Brooklyn, NY for relocation costs				
<b>Foundation Center</b>	\$10,000	\$0	\$10,000	\$0
New York, NY for general operating support				
<b>Fund for the City of New York</b>	\$100,000	\$0	\$100,000	\$0
New York, NY for the Center for Court Innovation for Bronx Community Solutions				
<b>Global Kids</b>	\$75,000	\$0	\$75,000	\$0
New York, NY to hire a chief financial officer				
<b>Groundwork Incorporated</b>	\$0	\$100,000	\$50,000	\$50,000
Brooklyn, NY for a full-time bookkeeper				
<b>Harlem Children's Zone</b>	\$0	\$100,000	\$100,000	\$0
New York, NY for relocation and construction costs to expand its foster care prevention programs				
<b>Henry Street Settlement</b>	\$100,000	\$0	\$100,000	\$0
New York, NY to hire a human resources manager				
<b>Hispanic Federation</b>	\$0	\$100,000	\$100,000	\$0
New York, NY for capital support				
<b>Hour Children</b>	\$0	\$100,000	\$50,000	\$50,000
Long Island City, NY for salary of a trainer/job developer				
<b>inMotion</b>	\$0	\$150,000	\$75,000	\$75,000
New York, NY to hire a director of legal development				
<b>Jewish Community Council of Greater Coney Island</b>	\$75,000	\$0	\$75,000	\$0
Brooklyn, NY to hire a computer network technician and account manager for the Nonprofit Help Desk				
<b>Just Food</b>	\$50,000	\$0	\$50,000	\$0
New York, NY to expand communications and education/outreach staff				
<b>The Lesbian, Gay, Bisexual &amp; Transgender Center</b>	\$38,000	\$0	\$38,000	\$0
New York, NY to implement a client data system for the Adult Mental Health and Social Services division				

<b>Statement of Grants December 31, 2007</b>	<b>Balance 12/31/06</b>	<b>Approvals 2007</b>	<b>Payments 2007</b>	<b>Balance 2007 or Later</b>
<b>Lower Eastside Girls Club of New York</b>	\$0	\$150,000	\$0	\$150,000
New York, NY to provide capital support				
<b>Madison Square Boys &amp; Girls Club</b>	\$80,000	\$0	\$80,000	\$0
New York, NY to hire a development associate				
<b>New Destiny Housing Corporation</b>	\$50,000	\$0	\$50,000	\$0
New York, NY to hire a housing developer				
<b>New Yorkers for Parks</b>	\$50,000	\$0	\$50,000	\$0
New York, NY to implement a marketing and brand recognition campaign				
<b>Nonprofit Coordinating Committee of New York</b>	\$0	\$100,000	\$50,000	\$50,000
New York, NY for membership subsidies as part of the Expanding Membership Benefits and Support initiative				
<b>NPower NY</b>	\$0	\$150,000	\$150,000	\$0
New York, NY for a data center manager and help desk manager				
<b>Parodneck Foundation for Self-Help Housing and Community Development</b>	\$50,000	\$0	\$50,000	\$0
New York, NY for the CATCH Progress initiative				
<b>Per Scholas</b>	\$0	\$100,000	\$0	\$100,000
Bronx, NY for a new training facility				
<b>Pratt Center for Community Development</b>	\$0	\$150,000	\$85,000	\$65,000
Brooklyn, NY to hire a director of real estate assistance				
<b>Prospect Park Alliance</b>	\$100,000	\$0	\$100,000	\$0
Brooklyn, NY to hire a new senior vice president for programs and outreach				
<b>Queens Botanical Garden Society</b>	\$0	\$100,000	\$50,000	\$50,000
Flushing, NY to strengthen board and fundraising capacity				
<b>Safe Space NYC</b>	\$0	\$50,000	\$50,000	\$0
New York, NY to create the Children's Support Foundation				
<b>Sanctuary for Families</b>	\$0	\$100,000	\$100,000	\$0
New York, NY for Manhattan office renovations				
<b>SCAN New York</b>	\$0	\$125,000	\$125,000	\$0
to hire a chief pedagogue for the Volunteer Parent Aides Association				
<b>Senior Action in a Gay Environment</b>	\$0	\$75,000	\$75,000	\$0
New York, NY for upgrading financial management systems and for relocating to new office space				

<b>Statement of Grants December 31, 2007</b>	<b>Balance 12/31/06</b>	<b>Approvals 2007</b>	<b>Payments 2007</b>	<b>Balance 2007 or Later</b>
<b>Settlement Housing Fund</b>	\$0	\$100,000	\$100,000	\$0
New York, NY				
to purchase new furniture and computer equipment				
<b>United Way of New York City</b>	\$0	\$150,000	\$150,000	\$0
New York, NY				
for the Neighborhood Opportunities Fund				
<b>Vera Institute of Justice</b>	\$0	\$150,000	\$75,000	\$75,000
New York, NY				
to hire a communications and development director				
<b>Wave Hill</b>	\$50,000	\$0	\$50,000	\$0
Bronx, NY				
for a strategic planning initiative				
<b>YMCA of Greater New York</b>	\$0	\$150,000	\$0	\$150,000
New York, NY				
for the Catalpa capital project				
<b>Total Civic Affairs</b>	<b>\$1,429,500</b>	<b>\$3,300,000</b>	<b>\$3,589,500</b>	<b>\$1,140,000</b>

## **Education**

### **Higher Education/Colleges and Universities**

<b>Alice Lloyd College</b>	\$0	\$100,000	\$100,000	\$0
Pippa Passes, KY				
for the construction of new media rooms				
<b>Allegheny College</b>	\$150,000	\$0	\$150,000	\$0
Meadville, PA				
for a library renovation project				
<b>Bowdoin College</b>	\$0	\$200,000	\$200,000	\$0
Brunswick, ME				
for a classroom renovation project				
<b>The Cooper Union for the Advancement of Science and Art</b>	\$0	\$300,000	\$100,000	\$200,000
New York, NY				
for a new academic building				
<b>Franklin &amp; Marshall College</b>	\$0	\$100,000	\$100,000	\$0
Lancaster, PA				
for a new academic building				
<b>Furman University</b>	\$0	\$150,000	\$150,000	\$0
Greenville, SC				
for a new science center				
<b>Gallaudet University</b>	\$0	\$250,000	\$250,000	\$0
Washington, DC				
for a new language and communication center				
<b>Hartwick College</b>	\$0	\$200,000	\$200,000	\$0
Oneonta, NY				
for a new academic building				

<b>Statement of Grants December 31, 2007</b>	<b>Balance 12/31/06</b>	<b>Approvals 2007</b>	<b>Payments 2007</b>	<b>Balance 2007 or Later</b>
<b>Heritage University</b> Toppenish, WA for science and nursing laboratory equipment	\$0	\$200,000	\$100,000	\$100,000
<b>Marlboro College</b> Marlboro, VT for new student information systems	\$0	\$100,000	\$100,000	\$0
<b>Mary Baldwin College</b> Staunton, VA for technology improvements	\$0	\$125,000	\$125,000	\$0
<b>Palm Beach Atlantic College</b> West Palm Beach, FL for a new library	\$0	\$100,000	\$100,000	\$0
<b>Rice University</b> Houston, TX for library technological enhancements	\$0	\$150,000	\$150,000	\$0
Total Higher Education/Colleges and Universities	\$150,000	\$1,975,000	\$1,825,000	\$300,000
 <b><u>K-12 Public Education</u></b>				
<b>Academy for Educational Development</b> Washington, DC to hire a senior program development and training specialist for the New York City program	\$0	\$200,000	\$100,000	\$100,000
<b>Advocates for Children of New York</b> New York, NY to hire a business development coordinator and a special events consultant	\$100,000	\$0	\$0	\$100,000
<b>Alliance for Excellent Education</b> Washington, DC to conduct a comprehensive analysis of educational reform efforts in New York City	\$0	\$330,000	\$165,000	\$165,000
<b>Alliance for Quality Education</b> Albany, NY to analyze funding plans in New York City schools	\$0	\$150,000	\$150,000	\$0
<b>Brown University</b> Providence, RI to hire an associate director of research for Annenberg Institute for School Reform	\$0	\$150,000	\$75,000	\$75,000
<b>Classroom, Inc.</b> New York, NY to hire a director of implementation for New York City schools	\$0	\$200,000	\$100,000	\$100,000
<b>Community Funds</b> New York, NY for the Donors' Education Collaborative	\$0	\$300,000	\$150,000	\$150,000
<b>ENACT</b> New York, NY to hire a deputy director	\$0	\$200,000	\$100,000	\$100,000
<b>Fund for Public Schools</b> New York, NY for the Scaffolded Apprenticeship Model program	\$100,000	\$0	\$100,000	\$0

<b>Statement of Grants December 31, 2007</b>	<b>Balance 12/31/06</b>	<b>Approvals 2007</b>	<b>Payments 2007</b>	<b>Balance 2007 or Later</b>
<b>Internationals Network for Public Schools</b>	\$100,000	\$0	\$0	\$100,000
New York, NY to formalize its development capacity				
<b>Learning Through An Expanded Arts Program</b>	\$100,000	\$0	\$100,000	\$0
New York, NY				
<b>Literacy Partners</b>	\$50,000	\$0	\$50,000	\$0
New York, NY to expand and strengthen its technology infrastructure				
<b>National Academy Foundation</b>	\$0	\$220,000	\$110,000	\$110,000
New York, NY to hire a director for the New York City program				
<b>Partnership with Children</b>	\$0	\$75,000	\$75,000	\$0
New York, NY to hire a fundraising consultant for the centennial campaign				
<b>Teach for America</b>	\$100,000	\$0	\$100,000	\$0
to establish the New York City operations team				
<b>The Teachers Network</b>	\$0	\$300,000	\$150,000	\$150,000
New York, NY to expand professional development activities for New York City teachers				
<b>Teaching Matters</b>	\$0	\$200,000	\$100,000	\$100,000
New York, NY for curriculum expansion and professional development activities				
<b>Regents of the University of California</b>	\$75,000	\$0	\$75,000	\$0
Santa Cruz, CA to establish a New York City office and hire an outreach coordinator for the New Teacher Center				
Total K-12 Public Education	\$625,000	\$2,325,000	\$1,700,000	\$1,250,000
<b>Total Education</b>	<b>\$775,000</b>	<b>\$4,300,000</b>	<b>\$3,525,000</b>	<b>\$1,550,000</b>
<b><u>Memberships</u></b>				
<b>Asian Americans/Pacific Islanders in Philanthropy</b>	\$5,000	\$0	\$5,000	\$0
San Francisco, CA for membership dues				
<b>Association of Small Foundations</b>	\$0	\$470	\$470	\$0
Washington, DC for membership dues				
<b>Grantmakers in Education</b>	\$0	\$1,000	\$1,000	\$0
Portland, OR for membership dues				
<b>New York Regional Association of Grantmakers</b>	\$11,500	\$0	\$11,500	\$0
New York, NY for membership dues				
<b>Total Memberships</b>	<b>\$16,500</b>	<b>\$1,470</b>	<b>\$17,970</b>	<b>\$0</b>
<b>Grand Total Grants</b>	<b>\$2,771,000</b>	<b>\$13,576,470</b>	<b>\$11,332,470</b>	<b>\$5,015,000</b>