

Booth Ferris Foundation

INFORMATION ABOUT THE FOUNDATION

The Booth Ferris Foundation was established in 1957 under the wills of Willis H. Booth and his wife, Chancie Ferris Booth. Since that time, approximately \$269 million has been contributed from the Foundation to worthy organizations for a variety of charitable purposes. Grants are made to charitable organizations that are exempt from federal taxes under Section 501 (c)(3) of the Internal Revenue Code and that are not classified as private foundations.

As of December 31, 2008, the market value of the assets of the Foundation was \$169,113,191. A total of \$10,627,495 was distributed in 2008 for 115 grants and memberships.

Proposals are reviewed periodically during the year. We will make every effort to schedule meetings or site visits with applicants whose proposals are pending on forthcoming dockets. Organizations whose proposals are not successful will be notified as soon as possible. Those organizations are asked to wait for at least one year before applying again. If a grant is awarded, we ask that the organization wait a minimum of three years before re-applying.

The Foundation's areas of focus are arts and culture, civic affairs and education. Arts and culture includes arts education, performing arts and visual arts organizations in New York City. Civic affairs is broadly defined to include community development, human services, legal services, management assistance and parks in New York City. In the field of education, the Foundation provides support to small liberal arts colleges nationally as well as K-12 public education initiatives in New York City. Grants in other areas are made occasionally, most often in the fields in which the Booth family had an interest. The Foundation primarily funds capital and capacity building projects. Grants typically range from \$50,000 to \$200,000.

Grants are not made to organizations whose primary work is conducted outside of the United States, and grants are not made to individuals, to federated campaigns or to work with specific diseases or disabilities. Proposals from educational institutions for scholarships and fellowships and for unrestricted endowment are discouraged, as are proposals for individual research efforts at such institutions. Proposals from social services and cultural institutions from outside the metropolitan New York area will not be considered.

CONTACTS AND DEADLINES

PLEASE NOTE: The contact person and proposal deadlines vary based on the program area. Please see the following list for important dates and contact information. Should the deadline fall on a weekend, the deadline will be the next business day. The list of attachments to be included in any grant request can be found on the following check list.

Arts and Culture/Higher Education:

Contact: Mr. Ed Jones, Vice President
Email: jones_ed_1@jpmorgan.com

Deadlines: **Proposals are reviewed on an ongoing basis**

Civic Affairs (Human Services and Urban Affairs):

Contact: Ms. Connie Brandeis, Program Officer
Email: connie.a.brandeis@jpmorgan.com

Deadline: Proposals should be postmarked by **February 1st**. Please do NOT hand-deliver, messenger or send the package via express mail or overnight delivery.

Civic Affairs (Affordable Housing and Community Development):

Contact: Ms. Sara J. Rosen, Program Officer
Email: sara.j.rosen@jpmchase.com

Deadline: Proposals should be postmarked by **February 1st**. Please do NOT hand-deliver, messenger or send the package via express mail or overnight delivery.

K-12 Education:

Contact: Ms. Erin K. Hogan, Vice President
Email: erin.k.hogan@jpmorgan.com

Deadlines: **Proposals are reviewed on an ongoing basis**

Parks/Gardens:

Contact: Ms. Connie Brandeis, Program Officer
Email: connie.a.brandeis@jpmorgan.com

Deadlines: **Proposals are reviewed on an ongoing basis**

Hand-delivered proposals cannot be accepted.

Application materials and correspondence should be mailed to the appropriate contact person at:

Booth Ferris Foundation
JPMorgan Private Bank
Philanthropic Services
345 Park Ave., 4th Fl., NY1-N040
New York, New York 10154-0004

Tel: (212) 464-2487
Fax: (212) 464-2305

PROPOSAL CHECKLIST

Please include the following items in your organization's request:

1. **Proposal** which includes:
 - overview of the organization (i.e., primary goals, needs or problems being addressed, population served)
 - description of the project for which you are seeking support
 - o Statement of purpose and the needs being addressed by the project
 - o Population served and how it will benefit from the project
 - o Project timeline/anticipated project duration
 - brief biography of the executive director and key project staff
2. **Project budget**
 - if it is a two-year request, please include a two-year projected budget
 - include a list of foundation, corporate and other support applied to the budget
3. Current fiscal year **organizational budget**
 - also include a projected budget for upcoming year (if available)
 - indicate if the organization has an **endowment**
 - o state the most recent value of the corpus
 - o indicate if the funds are permanently restricted
4. **List of foundation and corporate supporters**
 - include a list of funders for the current fiscal year
 - also provide a list from the most recently completed fiscal year
 - include foundation and corporation names and amounts
5. **Board of directors list with affiliations**
6. **Annual report** (most recent, if available)
7. **Audited financial statements** (final or draft copy from the most recent fiscal year)
8. **Form 990** (most recent)
9. **IRS 501(c)(3) determination letter**

Booth Ferris Foundation
JPMorgan Private Bank
Philanthropic Services
345 Park Avenue, 4th Floor, NY1-N040
New York, NY 10154-0004

Booth Ferris Annual Report 2008

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
<u>Arts and Culture</u>				
The 52nd Street Project New York, NY for costs related to occupying the new space	\$0	\$200,000	\$100,000	\$100,000
Aaron Davis Hall New York, NY for institutional stabilization	\$125,000	\$0	\$125,000	\$0
Alvin Ailey American Dance Theater New York, NY for 50th anniversary activities	\$125,000	\$0	\$125,000	\$0
American Museum of the Moving Image Queens, NY for a renovation and expansion project	\$0	\$150,000	\$75,000	\$75,000
ArtsConnection New York, NY for a website upgrade project	\$0	\$100,000	\$50,000	\$50,000
Bedford Stuyvesant Restoration Corporation Brooklyn, NY for implementation of the Center for Arts and Culture strategic plan	\$50,000	\$0	\$50,000	\$0
Bronx Museum of the Arts Bronx, NY for planning and development initiatives	\$100,000	\$0	\$100,000	\$0
Brooklyn Academy of Music Brooklyn, NY for growth initiatives	\$125,000	\$0	\$125,000	\$0
Brooklyn Arts Exchange Brooklyn, NY for a renovation and expansion project	\$0	\$100,000	\$50,000	\$50,000
Brooklyn Historical Society Brooklyn, NY	\$100,000	\$0	\$100,000	\$0

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
for a strategic and business plan initiative				
The Center for Arts Education	\$75,000	\$0	\$75,000	\$0
New York, NY				
for public engagement initiatives				
Chamber Music Society of Lincoln Center	\$75,000	\$0	\$75,000	\$0
New York, NY				
to sustain institutional operations in temporary space				
Children's Art Carnival	\$75,000	\$0	\$75,000	\$0
New York, NY				
for staffing and equipment upgrades				
Community Works	\$100,000	\$0	\$100,000	\$0
New York, NY				
for the design and furnishing of a new facility				
Creative Time	\$75,000	\$0	\$75,000	\$0
New York, NY				
for renovations of a new facility				
Cunningham Dance Foundation	\$75,000	\$0	\$75,000	\$0
New York, NY				
for new webcast programming				
Dance Theatre Workshop	\$0	\$150,000	\$75,000	\$75,000
New York, NY				
for Phase II of the Campaign for Institutional Advancement				
Dance Theatre of Harlem	\$50,000	\$0	\$50,000	\$0
New York, NY				
for a marketing and communications initiative				
Dixon Place	\$150,000	\$0	\$150,000	\$0
New York, NY				
for a new facility				
Heart of Brooklyn	\$75,000	\$0	\$75,000	\$0
Brooklyn, NY				
for strategic planning				
Home for Contemporary Art and Theatre	\$75,000	\$0	\$75,000	\$0
New York, NY				
for project management costs				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
Irondale Productions Brooklyn, NY for a new facility	\$200,000	\$0	\$200,000	\$0
Japan Society New York, NY for renovations	\$100,000	\$0	\$100,000	\$0
Lark Theatre Company New York, NY to strengthen fundraising capacity	\$0	\$100,000	\$50,000	\$50,000
Lincoln Center Theater New York, NY for the LCT-3 developmental program	\$0	\$200,000	\$100,000	\$100,000
Martha Graham Center of Contemporary Dance New York, NY for an audience cultivation program	\$0	\$150,000	\$150,000	\$0
Metropolitan Opera Association New York, NY for new stage wagons	\$0	\$100,000	\$50,000	\$50,000
Mind Builders Creative Arts Bronx, NY to strengthen fundraising capacity	\$75,000	\$0	\$75,000	\$0
The Morgan Library New York, NY for repairs to the McKim library building	\$0	\$200,000	\$100,000	\$100,000
The Moving Image New York, NY for renovations	\$200,000	\$0	\$200,000	\$0
Museum for African Art Long Island City, NY for the construction of a new facility	\$0	\$200,000	\$100,000	\$100,000
National Museum of the American Indian, Smithsonian New York, NY for the Heye Center's exhibition, An Infinity of Nations	\$0	\$150,000	\$75,000	\$75,000
The New 42nd Street	\$100,000	\$0	\$100,000	\$0

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
New York, NY for the Tessitura project New York Youth Symphony	\$75,000	\$0	\$75,000	\$0
New York, NY for a capital campaign initiative and related staff additions Performance Space 122	\$75,000	\$0	\$75,000	\$0
New York, NY for a newly structured membership program and marketing strategies Philharmonic Symphony Society of New York	\$125,000	\$0	\$125,000	\$0
New York, NY for a technology initiative Queens Theatre in the Park	\$0	\$150,000	\$75,000	\$75,000
Flushing, NY for an artistic growth initiative The Seventh Regiment Armory Conservancy	\$0	\$150,000	\$75,000	\$75,000
New York, NY for arts programming and related activities Signature Theatre Company	\$0	\$100,000	\$50,000	\$50,000
New York, NY for a ticket subsidy initiative South Street Seaport Museum	\$0	\$100,000	\$50,000	\$50,000
New York, NY for a new brand identity program Theatre for a New Audience	\$200,000	\$0	\$0	\$200,000
New York, NY for capital support Trisha Brown Dance Company	\$0	\$100,000	\$100,000	\$0
New York, NY for the Plan for the Future WNYC Radio	\$0	\$200,000	\$100,000	\$100,000
New York, NY for the Campaign for New York Public Radio Young Audiences New York	\$75,000	\$0	\$75,000	\$0

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
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New York, NY
for organizational assessment and strategic planning

Total Arts and Culture	\$2,675,000	\$2,600,000	\$3,900,000	\$1,375,000
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Civic Affairs

Asian American Legal Defense and Education Fund New York, NY to strengthen fundraising capacity	\$60,000	\$0	\$50,000	\$10,000
The Bronx Defenders Bronx, NY to create a development department	\$0	\$200,000	\$100,000	\$100,000
The Brotherhood/Sister Sol New York, NY for capital expansion	\$0	\$50,000	\$50,000	\$0
Center for Alternative Sentencing and Employment Services New York, NY to develop and implement a client tracking database	\$100,000	\$0	\$100,000	\$0
Center for Employment Opportunities New York, NY to launch the CEO Academy	\$0	\$50,000	\$50,000	\$0
The Center for Family Representation New York, NY to fund a development associate and to strengthen fiscal operations	\$75,000	\$0	\$75,000	\$0
The Center for Urban Community Services New York, NY for improvements to its new East Harlem headquarters	\$0	\$125,000	\$125,000	\$0
The Child Center of NY Woodside, NY to complete construction of its Child Care Center of Corona	\$0	\$50,000	\$50,000	\$0
The Children's Village Dobbs Ferry, NY	\$100,000	\$0	\$100,000	\$0

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
for renovation and expansion of the Hayden Recreation Center				
Citizen Schools New York	\$0	\$100,000	\$50,000	\$50,000
New York, NY				
to launch programs in New York City				
Citizens Advice Bureau	\$0	\$150,000	\$150,000	\$0
Bronx, NY				
for a Headquarters Project				
City Harvest	\$0	\$100,000	\$100,000	\$0
New York, NY				
to upgrade its delivery fleet to use hybrid electric technologies				
Developmental Studies Center	\$0	\$150,000	\$75,000	\$75,000
Oakland, CA				
to support the expansion of its afterschool reading enrichment program				
Food Bank for New York City, Food for Survival	\$0	\$200,000	\$200,000	\$0
New York, NY				
for transitional costs associated with the acquisition of Food Change				
Foundation Center	\$0	\$30,000	\$10,000	\$20,000
New York, NY				
for general operating support				
Friends of Van Cortlandt Park	\$0	\$57,500	\$57,500	\$0
Bronx, NY				
for the Trails Master Plan for Van Cortlandt Park				
Community Funds	\$0	\$150,000	\$75,000	\$75,000
New York, NY				
for the capacity building initiative of the Fund for New Citizens				
Grand Street Settlement	\$0	\$150,000	\$75,000	\$75,000
New York, NY				
for the addition of two senior management positions				
Groundwork Incorporated	\$50,000	\$0	\$50,000	\$0
Brooklyn, NY				
to hire a full-time bookkeeper				
Harlem RBI	\$0	\$200,000	\$100,000	\$100,000

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New York, NY to expand the development and fiscal departments				
Hour Children	\$50,000	\$0	\$50,000	\$0
Long Island City, NY for the salary of a trainer/job developer				
Housing + Solutions	\$0	\$120,000	\$60,000	\$60,000
New York, NY to hire a part-time director of development				
Human Services Council of New York City	\$0	\$125,000	\$62,500	\$62,500
New York, NY for a membership coordinator				
iMentor	\$0	\$100,000	\$100,000	\$0
New York, NY to hire a chief operating officer				
inMotion	\$75,000	\$0	\$75,000	\$0
New York, NY to hire a director of legal development				
Lower Eastside Girls Club of New York	\$150,000	\$0	\$0	\$150,000
New York, NY to provide capital support				
Make the Road New York	\$0	\$150,000	\$75,000	\$75,000
Brooklyn, NY to hire additional staff to build organizational capacity				
The Municipal Art Society of New York	\$0	\$160,000	\$80,000	\$80,000
New York, NY for website upgrades				
National Employment Law Project	\$0	\$75,000	\$75,000	\$0
New York, NY for costs associated with the Economic Justice Project merger				
Neighborhood Defender Service	\$0	\$100,000	\$50,000	\$50,000
New York, NY to expand the development department				
Neighborhood Family Services Coalition	\$0	\$60,000	\$30,000	\$30,000
New York, NY				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
to strengthen administrative and programmatic capacity				
New York Botanical Garden	\$0	\$200,000	\$100,000	\$100,000
Bronx, NY				
to improve signage and interpretive materials				
New Yorkers for Parks	\$0	\$150,000	\$75,000	\$75,000
New York, NY				
for the park usership study				
Nonprofit Coordinating Committee of New York	\$50,000	\$0	\$0	\$50,000
New York, NY				
for membership subsidies as part of the Expanding Membership Benefits and Support initiative				
Northwest Bronx Community and Clergy Coalition	\$0	\$150,000	\$75,000	\$75,000
Bronx, NY				
to negotiate a model Community Benefits Agreement				
Opportunities for a Better Tomorrow	\$0	\$72,000	\$72,000	\$0
Brooklyn, NY				
to purchase new signage and to replace an air conditioning unit				
Partnership for After School Education	\$0	\$150,000	\$100,000	\$50,000
New York, NY				
for the Quality Outcomes for Out of School Time initiative				
Per Scholas	\$100,000	\$0	\$100,000	\$0
Bronx, NY				
for a new training facility				
Picture the Homeless	\$0	\$90,000	\$45,000	\$45,000
Bronx, NY				
to hire an office administrator				
Pratt Institute	\$65,000	\$0	\$65,000	\$0
Brooklyn, NY				
to hire a director of real estate assistance				
Pro Bono Net	\$0	\$200,000	\$100,000	\$100,000
New York, NY				
to hire a technology director				
Project Hospitality	\$0	\$160,000	\$80,000	\$80,000
Staten Island, NY				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
to hire a community development specialist				
Queens Botanical Garden Society	\$50,000	\$0	\$50,000	\$0
Flushing, NY				
to strengthen board and fundraising capacity				
Rocking the Boat	\$0	\$75,000	\$0	\$75,000
Bronx, NY				
for a capital project				
Supportive Housing Network of New York	\$0	\$123,000	\$123,000	\$0
New York, NY				
for capital improvements to a new office location				
Urban Homesteading Assistance Board	\$0	\$150,000	\$85,000	\$65,000
New York, NY				
to enhance the Management and Organization Development Department				
Vera Institute of Justice	\$75,000	\$0	\$0	\$75,000
New York, NY				
to hire a communications and development director				
YMCA of Greater New York	\$150,000	\$0	\$150,000	\$0
New York, NY				
for the Catalpa capital project				
Total Civic Affairs	\$1,150,000	\$4,172,500	\$3,520,000	\$1,802,500

Education

Higher Education/Colleges and Universities

Beloit College	\$0	\$150,000	\$150,000	\$0
Beloit, WI				
for equipment in the new Center for the Sciences				
Clark University	\$0	\$150,000	\$75,000	\$75,000
Worcester, MA				
for a library renovation project				
College of Mount Saint Vincent	\$0	\$150,000	\$150,000	\$0

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
Riverdale, NY for science building renovations				
Connecticut College	\$0	\$150,000	\$150,000	\$0
New London, CT for classroom renovations				
The Cooper Union for the Advancement of Science and Art	\$200,000	\$0	\$200,000	\$0
New York, NY for a new academic building				
Florida Southern College	\$0	\$150,000	\$0	\$150,000
Lakeland, FL for a new humanities building				
Heritage University	\$100,000	\$0	\$100,000	\$0
Toppenish, WA for science and nursing laboratory equipment				
Hilbert College	\$0	\$150,000	\$150,000	\$0
Hamburg, NY for the restoration of science laboratories				
Iona College	\$0	\$200,000	\$100,000	\$100,000
New Rochelle, NY for the library expansion project				
La Roche College	\$0	\$150,000	\$0	\$150,000
Pittsburgh, PA for the library renovation project				
Marymount Manhattan College	\$0	\$200,000	\$0	\$200,000
New York, NY for the library renovation project				
Misericordia University	\$0	\$150,000	\$0	\$150,000
Dallas, PA for a new science building				
St. John's College	\$0	\$200,000	\$0	\$200,000
Santa Fe, NM for a new academic building				
Washington and Lee University	\$0	\$150,000	\$150,000	\$0
Lexington, VA				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
for a library renovation project				
Washington College	\$0	\$200,000	\$100,000	\$100,000
Chestertown, MD				
for technology upgrades				
Wilson College	\$0	\$200,000	\$200,000	\$0
Chambersburg, PA				
for the new science complex				
Total Higher Education/Colleges and Universities	\$300,000	\$2,350,000	\$1,525,000	\$1,125,000
<u>K-12 Public Education</u>				
Academy for Educational Development-New York	\$100,000	\$0	\$0	\$100,000
New York, NY				
to hire a senior program development and training specialist				
Advocates for Children of New York	\$100,000	\$0	\$100,000	\$0
New York, NY				
to hire a business development coordinator and special events consultant				
Alliance for Excellent Education	\$165,000	\$0	\$165,000	\$0
Washington, DC				
for a comprehensive analysis of educational reform efforts in New York City				
Annenberg Institute for School Reform	\$75,000	\$0	\$0	\$75,000
Providence, RI				
to hire an associate director of research				
Classroom, Inc.	\$100,000	\$0	\$100,000	\$0
New York, NY				
to hire a director of implementation for New York City schools				
Community Funds	\$150,000	\$0	\$150,000	\$0
New York, NY				
for the Donors' Education Collaborative				
ENACT	\$100,000	\$0	\$0	\$100,000
New York, NY				
to hire a deputy director				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
Fund for Public Schools	\$0	\$140,000	\$140,000	\$0
New York, NY to create a professional development program for the Empowerment school network				
Institute for Student Achievement	\$0	\$300,000	\$150,000	\$150,000
Lake Success, NY to create a sustainability plan for its small high schools in New York City				
Internationals Network for Public Schools	\$100,000	\$0	\$100,000	\$0
New York, NY to formalize development capacity				
Learning Leaders	\$0	\$175,000	\$100,000	\$75,000
New York, NY for volunteer programs in New York City schools				
National Academy Foundation	\$110,000	\$0	\$110,000	\$0
New York, NY to hire a director for the New York City schools program				
New Visions for Public Schools	\$0	\$400,000	\$200,000	\$200,000
New York, NY to develop a student relationship management system				
PENCIL	\$0	\$200,000	\$100,000	\$100,000
New York, NY for a technology improvement initiative				
The Teachers Network	\$150,000	\$0	\$0	\$150,000
New York, NY for professional development activities for New York City teachers				
Teaching Matters	\$100,000	\$0	\$100,000	\$0
New York, NY for curriculum expansion and professional development activities				
Educational Broadcasting Corporation	\$0	\$300,000	\$150,000	\$150,000
New York, NY to expand an online digital library of educational programming				

Statement of Grants December 31, 2008	Balance 12/31/2008	Approvals 2008	Payments 2008	Balance 2009 or Later
for New York City public school teachers				
Total K-12 Public Education	\$1,250,000	\$1,515,000	\$1,665,000	\$1,100,000
Total Education	\$1,550,000	\$3,865,000	\$3,190,000	\$2,225,000
<u>Memberships</u>				
Asian Americans/Pacific Islanders in Philanthropy	\$0	\$15,000	\$15,000	\$0
San Francisco, CA				
for membership dues				
Association of Small Foundations	\$0	\$495	\$495	\$0
Underhill, VT				
for membership dues				
Grantmakers for Education	\$0	\$1,000	\$1,000	\$0
Portland, OR				
for membership dues				
Grantmakers in the Arts	\$0	\$1,000	\$1,000	\$0
Seattle, WA				
for membership dues				
Total Memberships	\$0	\$17,495	\$17,495	\$0
Grand Total Grants	\$5,375,000	\$10,654,995	\$10,627,495	\$5,402,500